HELEN A. FROHLICH #8814 Assistant Attorney General SEAN D. REYES #7969 Attorney General Attorneys for Utah Ins. Dept. PO Box 140874 Salt Lake City, UT 84114

Telephone: 801-366-0375 Email: hfrohlich@agutah.gov

BEFORE THE UTAH INSURANCE COMMISSIONER

UTAH INSURANCE DEPARTMENT,

Complainant,

v.

Docket No. 2018-4005

ORDER

STEVEN D. BRANTLEY

Respondent.

Lisa Watts Baskin Presiding Officer

This matter came before the Presiding Officer on September 20, 2018 for a telephone prehearing conference. Present were Helen A. Frohlich and Perri Babalis, Assistant Attorneys General for the Utah Insurance Department, Richard Van Wagoner, attorney for Steven Brantley, and Steven Brantley, Respondent,

The Presiding Officer reviewed the procedural history of the case.

Mr. Van Wagoner indicated that the parties had reached a settlement whereby Mr. Brantley would be issued a license subject to a 24 month probationary period from the date of issuance. If there are no violations, then the probation would terminate at the end of 24 months. If there are violations, the parties will reconvene and assess how to proceed. Ms. Frohlich confirmed the terms of the stipulation.

Based upon the stipulation of the parties, the Presiding Officer enters the following Order:

ORDER

- 1. Respondent, Steven D. Brantley, is hereby granted a resident producer individual insurance license with Title Marketing Representative and Title Insurance Examiner lines of authority. As a condition of this license being issued, Mr. Brantley is placed on probation for a period of 24 months beginning on the date this Order is signed.
- 2. During this probation, Respondent shall not violate any Utah Statute or Department Rule.
- 3. Probation shall be terminated at the end of the 24 month period if there are no violations.

DATED this 3 day of October, 2018.

TODD E. KISER Insurance Commissioner

LISA WATTS BASKIN

Presiding Officer

Utah Insurance Department

NOTIFICATION TO RESPONDENT

You are hereby notified that a failure to obey an Order of the Commissioner may subject you to further penalties, including forfeitures of up to \$5,000 per violation and the suspension or revocation of your license and the filing of an action in district court, which may impose

forfeitures of up to \$10,000 per day for continued violation. You are further notified that other jurisdictions in which you may be licensed may require that you report this action to them.

CERTIFICATE OF MAILING

The undersigned hereby certifies that on this date, a true and correct copy of the foregoing Order was emailed to the following:

> Richard A. Van Wagoner Snow, Christensen & Martineau rav@scmlaw.com

Helen A. Frohlich Assistant Attorney General hfrohlich@agutah.gov

DATED this 4 th day of October, 2018

Tatiana Karaivanova

Utah Insurance Department

State Office Building, Room 3110 Salt Lake City, UT. 84114-6901

801-538-3800